

Internal Audit Update

Presented By
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Federal Retirement Thrift Investment Board
tsp.gov

CY2024 Internal Audit Plan (Completed)

Audit	Month											
	January	February	March	April	May	June	July	August	September	October	November	December
Special Project: Program Office File Review (OED/ORM)	Completed											
Improper Payments	Completed	Completed	Completed	Completed								
Records Management	Timing Adjusted	Completed	Completed	Completed	Completed							
Review of Internal Control Process	Completed	Completed	Completed									
AuditNow Implementation Review			Timing Adjusted	Timing Adjusted	Completed	Completed	Completed					
Compliance with E.O. 14035				Completed	Completed	Completed	Completed					
Government Purchase Card					Completed	Completed	Completed	Completed				
Converge Court Order Payments					Timing Adjusted	Completed	Completed	Completed	Completed			
Contracting				Completed	Completed	Completed	Completed	Completed	Completed	Completed		
Mutual Funds Window Operations Part 1										Completed	Completed	Completed
Converge Non-Systematic Withdrawals / Check Reissues (1)										Completed	Completed	Completed
Converge Loans (1)										Completed	Completed	Completed
Converge Contractor Vetting Process (1)										Completed	Completed	Completed
FISMA	Completed	Completed	Completed	Completed	Completed	Completed	Completed					

- Completed
- New Audit
- Timing Adjusted

(1) completed February 2025

CY2025 Internal Audit Plan

Audit	Month	January	February	March	April	May	June	July	August	September	October	November	December
Zero Trust Architecture Review (FRTIB/Converge)		In Process	In Process	In Process									
FSM: Oracle Financials		In Process	In Process	In Process									
Budgeting		In Process	In Process	In Process									
Business Continuity			In Process	In Process	In Process								
Mutual Funds Window Operations Part 2				Planned	Planned	Planned							
Integrated Risk Management Tool					Planned	Planned	Planned						
Training and Development						Planned	Planned	Planned	Planned				
Converge Surveillance						Planned	Planned	Planned	Planned				
Vendor Risk Management								Planned	Planned	Planned	Planned		
Strategic Plan Monitoring									Planned	Planned	Planned		
Prior Year findings (continuous process)		Planned	Planned	Planned	Planned	Planned	Planned	Planned	Planned	Planned	Planned	Planned	Planned
FISMA 2025		In Process	In Process	In Process	In Process	In Process	In Process						

 In Process

 Planned